

05-11-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 080398.P159D

First Named Inventor Kitsukawa et al.

Title:

Express Mail Label No. EL845313513US

109852813
05/09/01ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 46)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 11)
5. Oath or Declaration (Total Pages 4)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S). Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail Label No. EL845313513US
 The above-entitled application, or part thereof, is being transmitted by
 depositing with the United States Postal Service, "Express
 Mail Post Office Address" service on the _____
 indicated date. The paper or fee has been submitted to the
 Assistant Commissioner for Patents, Washington, D.C. on
 the _____ date.

May 9, 2001

Date of Deposit

April Harvey

Person Mailing Correspondence

Signature

Date

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 X Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449
 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Express Mail Certificate

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation X Divisional Continuation-in-part (CIP)
Of Prior Application No.: 09/218,857 Examiner Grant, C. Group Art Unit 2611

(which is a continuation/ divisional/ CIP of prior application no. _____, which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Sony Electronics (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 9879 Frame No. 0874 (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME Gordon R. Lindeen III

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

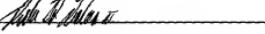
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Name (PRINT/TYPE): Gordon R. Lindeen III Registration No.: 33,192

Signature:  Date: 5/9/1

Serial/Patent No.: 60/398,159 Filing/Issue Date: Concurrently Herewith
Client: Sony Electronics
Title: method and Apparatus for Providing Advertising
Linked to a Screen of a Telephone

bstz File No.: 080398.159b

Date Mailed: May 9, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (pgs.)
- Appeal Brief (pgs.) (in triplicate)
- Application - Utility (pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (pgs.)
- Application - Rule 1.53(b) Divisional (46 pgs.)
- Application - Rule 1.53(d) CIP (pgs.)
- Application - Rule 1.53(d) CFA Transmittal (pgs.)
- Application - Design (pgs.)
- Application - PCT (pgs.)
- Application - Provisional (pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing 4 from parent app.
- Declaration & POA (pgs.)
- Disclosure Doc & Obj & Copy of Inventor/Signed Letter (pgs.)
- Drawing: 11 of sheets includes 11 figures

Atty/Secty Initials: GRL/jamw

Docket Due Date:

- Express Mail No.: EL845313513US Check No. 1476
- Month(s) Extension of Time Amt: 1690
- Remittance/Check Statement & PTO 149 (4 pgs.) Check No.
- Issue Fee Transmittal
- Notice of Appeal
- Petition for Extension of Time
- Petition for
- Postcard
- Power of Attorney (pgs.)
- Preliminary Amendment (pgs.)
- Reply Brief (pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate
- Fee Transmittal, in duplicate

Other: Express Mail (Certificate)

EL845313513US

"Express Mail" mailing label number: EL845313513US
Date of Deposit: May 9, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

April Worley

(Typed or printed name of person mailing paper or fee)

April Worley
(Signature of person mailing paper or fee)

(Date signed)

5/9/01

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$1690.00

Complete if Known:

Application No. _____

Filing Date Concurrently HerewithFirst Named Inventor Kitsukawa et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 080398.P159D

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

EXPRESS MAIL CERTIFICATE OF MAILING

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

May 9, 2001

Date of Deposit

April Worley

Name of Person Mailing (or designee)

April Worley

5/9/01

Signature

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101	710	201 355 Utility application filing fee	<u>710.00</u>
106	320	206 160 Design application filing fee	_____
107	490	207 245 Plant filing fee	_____
108	710	208 355 Reissue filing fee	_____
114	150	214 75 Provisional application filing fee	_____

SUBTOTAL (1) \$710.002. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 55	- 20** = 35	X 18.00	= 630.00
Independent Claims 8	- 3** = 5	X 80.00	= 350.00
Multiple Dependent		=	

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 980.00

FEE CALCULATION (continued)

706050-125860

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>			<u>Fee Description</u>		<u>Fee Paid</u>
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)				
105	130	205	65		Surcharge - late filing fee or oath		
127	50	227	25		Surcharge - late provisional filing fee or cover sheet		
139	130	139	130		Non-English specification		
147	2,520	147	2,520		For filing a request for ex parte reexamination		
112	920*	112	920*		Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action		
115	110	215	55		Extension for reply within first month		
116	390	216	195		Extension for reply within second month		
117	890	217	445		Extension for reply within third month		
118	1,390	218	695		Extension for reply within fourth month		
128	1,890	228	945		Extension for reply within fifth month		
119	310	219	155		Notice of Appeal		
120	310	220	155		Filing a brief in support of an appeal		
121	270	221	135		Request for oral hearing		
138	1,510	138	1,510		Petition to institute a public use proceeding		
140	110	240	55		Petition to revive - unavoidable		
141	1,240	241	620		Petition to revive - unintentional		
142	1,240	242	620		Utility issue fee (or reissue)		
143	440	243	220		Design issue fee		
144	600	244	300		Plant issue fee		
122	130	122	130		Petitions to the Commissioner		
123	130	123	130		Petitions related to provisional applications		
126	180	126	180		Submission of Information Disclosure Stmt		
581	40	581	40		Recording each patent assignment per property (times number of properties)		
146	710	246	355		For filing a submission after final rejection (see 37 CFR 1.129(a))		
149	710	249	355		For each additional invention to be examined (see 37 CFR 1.129(b))		
179	710	279	355		Request for Continued Examination (RCE)		
169	900	169	900		Request for expedited examination of a design application		
Other fee (specify)							
Other fee (specify)							

SUBTOTAL (3) \$0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Gordon R. Lindeen III

Signature:  Date: 5/16/11

Reg. Number: 33,192 Telephone Number: 303-740-1980

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